

Enolexo Aldol Reactions



**Direct Catalytic Asymmetric *Enolexo*  
Aldolizations\*\***

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and Benjamin List\**

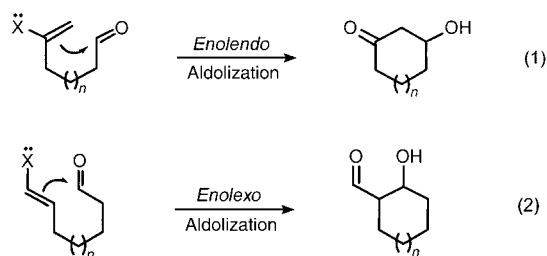
The aldol reaction is an exceptionally useful strategic C–C bond-forming reaction for the stereoselective construction of cyclic and acyclic molecules. As a result, several catalytic

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asymmetric intermolecular variants, both indirectly, with preformed enolate equivalents, and directly involving unmodified carbonyl compounds have been described.<sup>[1]</sup> Remarkably, however, there is still only one catalytic asymmetric intramolecular aldol reaction, the proline-catalyzed Hajos–Parrish–Eder–Sauer–Wiechert reaction.<sup>[2]</sup> While the usefulness of this process has been illustrated in a broad context,<sup>[3]</sup> only 6-*enolendo* aldolizations [Eq. (1),  $n = 1$ ] have been described so far. Direct catalytic asymmetric *enolexo* aldolizations [Eq. (2)] are unknown. Herein we describe the first and highly enantioselective examples of this process.

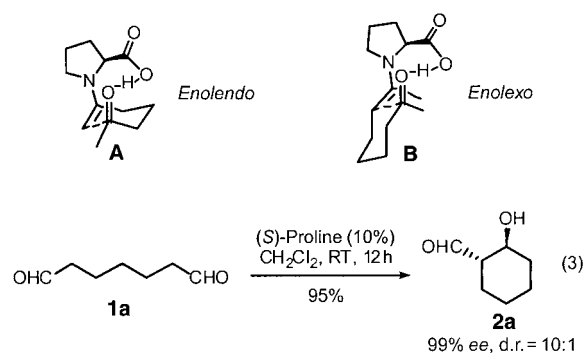


6-*Enolendo* aldolizations are very common and favored according to the Baldwin rules.<sup>[4,5]</sup> The only catalytic asymmetric variant of this process, the Hajos–Parrish–Eder–Sauer–Wiechert reaction has not been extended to different ring sizes, nor have any proline-catalyzed *enolexo* aldolizations [Eq. (2)] been described.<sup>[6]</sup> Although Baldwin-favored in the formation of 3–7 membered rings, *enolexo* aldolizations are less studied<sup>[7]</sup> and direct catalytic asymmetric variants are unknown.<sup>[8]</sup>

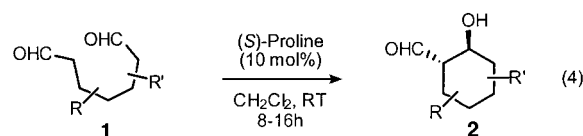
Recently, we discovered the first proline-catalyzed asymmetric intermolecular aldol reactions<sup>[9–11]</sup> and proposed a unified one-proline enamine catalysis mechanism of both inter- and intramolecular aldol reactions.<sup>[12–14]</sup> By inspecting possible transition-state models, we realized that in addition to the established 6-*enolendo* aldolizations via transition state **A**, proline should also catalyze corresponding 6-*enolexo* aldolizations via the chairlike assembly **B**. Such reactions should provide a highly stereoselective pathway to useful *trans*-1,2-disubstituted cyclohexanes.

Indeed, the reaction of heptanedial<sup>[15]</sup> (**1a**) with a catalytic amount of (*S*)-proline in dichloromethane gave aldol **2a** in high yield and diastereoselectivity, and with excellent enantioselectivity [Eq. (3)].<sup>[16,17]</sup>

With this encouragement, we decided to study the scope of this



proline-catalyzed 6-*enolexo* aldolization. Various pentane-1,5-dialdehydes (pimelaldehydes; **1**) were prepared<sup>[18]</sup> and then treated with a catalytic amount of (*S*)- or (*R*)-proline in dichloromethane [Eq. (4), Table 1]. Both **1b** and **1c** provided



the corresponding cyclic aldols **2b** and **2c** in high yields and excellent diastereo- and enantioselectivities. Surprisingly, we found that a single substituent in the 4-position has an unfavorable effect on the stereoselectivity of the cycloaldo-

**Table 1:** Proline-catalyzed *enolexo* aldolizations of dicarbonyl compounds. Yields refer to diols obtained after in situ NaBH<sub>4</sub> reduction.

Dicarbonyl	Yield [%]	Products	ee [%]	d.r. [%]
	95		99	10:1
	74		98	> 20:1
	75		97	> 20:1
	76		75,89,95,8	22:5:5:1
	88		99	1:1
	92		99	2:1

lization. This effect was demonstrated with 4-methyl-substituted **1d**, which provided all four possible diastereomeric aldols of **2d** upon treatment with proline; the resulting aldols were obtained in a 22:5:5:1 ratio and in 75, 89, >95, and 8% *ee*, respectively. Explaining the lowered stereoselectivity in this case is difficult without calculating the relative energies of all reasonable transition states.<sup>[12]</sup> Even if the enamine is fixed to having *E* geometry, and boat conformations are excluded (as in **B**), the transition states may still vary in an axial versus equatorial 4-substituent and/or enamine double bond, and in the *anti* versus *syn* relationship of the carboxylic acid to the olefin. We also investigated the behavior of the *meso*-configured dialdehyde **1e** under our reaction conditions. Four stereogenic centers may be created simultaneously in a catalytic asymmetric desymmetrization of this substrate. We found the two expected *anti*-configured aldols (**2e** and **2e'**) to be formed in equal amounts and in 99 and 75% *ee*, respectively. That the proline-catalyzed *enolexo* aldolization is not limited to dialdehydes was illustrated in the reaction of ketoaldehyde **1f**, which gave tertiary aldol **2f** (d.r. = 2:1), in 99% *ee* (minor isomer 95% *ee*).

In summary, we have described the first and highly enantioselective proline-catalyzed *enolexo* aldolization of dicarbonyl compounds. This reaction provides  $\beta$ -hydroxy cyclohexane carbonyl derivatives that are of potential widespread usage in target-oriented synthesis. This *anti*-diastereoselective proline-catalyzed *enolexo* aldolization nicely complements alternative methodologies such as the highly enantio- and *syn*-diastereoselective baker's yeast reduction of  $\beta$ -keto esters.<sup>[19]</sup> An advantage of the aldolization methodology is that both enantiomeric products can be accessed simply by using either (*S*)- or (*R*)-proline, whereas the biocatalysis route is limited to products of a single absolute configuration.<sup>[20]</sup> Applications in natural product synthesis and further extensions of proline-catalyzed inter- and intramolecular aldolizations are forthcoming.

## Experimental Section

Typical aldolization procedure: Dicarbonyl **1** (1 mmol) was dissolved in dry dichloromethane (10 mL) and treated with (*S*)- or (*R*)-proline (12 mg, 0.1 mmol, 10%). The mixture was stirred at room temperature until the starting material had disappeared (8–16 h). Aldols **2** can be isolated after standard aqueous work-up, but are unstable over extended time periods at room temperature. Stable diols are obtained by in situ reduction with NaBH<sub>4</sub> followed by an aqueous work-up, as described elsewhere.<sup>[11d,21]</sup>

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